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NON-PROVISIONAL APPLICATION FOR U. S. PATENT UNDER 37 CFR 1.53(b)  
TRANSMITTAL FORM

Attorney Docket No. 106923-00017

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Transmitted herewith for filing is the  
patent application of:

Inventor(s): Hutchison

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*Trish Paramore*  
Trish Paramore

For: **SOLID STATE TRAFFIC LIGHT APPARATUS HAVING A COVER INCLUDING  
AN INTEGRAL LENS**

Enclosed are:

- 23 sheets of informal drawings and 29 pages of Specification (including Abstract)  
X A Declaration/Power of Attorney  
X Assignment with form PTO 1595  
X Small Entity Claim

FEE CALCULATION					FEE
	NUMBER		NUMBER EXTRA	RATE	BASIC FEE \$ 355.00
Total Claims	20	-20 =		X \$9 =	0.00
Independent Claims	2	- 3 =		X \$39 =	0.00
Total Filing Fee					\$355.00

A check in the amount of \$355.00 is enclosed. The Assistant Commissioner is hereby authorized to charge any additional  
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All correspondence related to this application may be addressed to the undersigned at Jackson Walker, LLP., 2435  
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Date October 5, 2000

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09/680004  
U.S. PTO

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS - SMALL BUSINESS CONCERN**

Applicant: Power Signal Technologies Inc.

Attorney Docket No. 106923-00017

Filed: HERewith

For: **SOLID STATE TRAFFIC LIGHT APPARATUS HAVING A COVER  
INCLUDING AN INTEGRAL LENS**

I hereby declare that I am an official of the small business empowered to act on behalf of the concern identified below:

Name of Organization: Power Signal Technologies Inc.

Address of Organization: 6220 Trailwood Drive  
Plano, Texas 75024

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27 (c)) - SMALL BUSINESS CONCERN

The type of organization is a small business concern.

I hereby declare that the organization identified above qualifies as a small business as defined in 37 C.F.R. §1.9(d), and thus is a "small entity" as defined in §1.9(f), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that exclusive rights to the invention have been conveyed to and remain with the organization with respect to the invention, entitled "**SOLID STATE TRAFFIC LIGHT APPARATUS HAVING A COVER INCLUDING AN INTEGRAL LENS**" by inventor Michael C. Hutchison described in:

- ☒ the specification filed herewith
- ☐ application serial no. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ patent no. \_\_\_\_\_ issued \_\_\_\_\_.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 C.F.R. 1.9(d) or a nonprofit organization under 37 C.F.R. 1.9(e).

I acknowledge the duty to file, in this application or patent notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing: Michael C. Hutchison  
Title of person other than owner: President  
Address of person signing: 6220 Trailwood Dr.  
Plano, Texas 75024

Signature:  Date: 10/4/00

## **SOLID STATE TRAFFIC LIGHT APPARATUS HAVING A COVER INCLUDING AN INTEGRAL LENS**

### FIELD OF THE INVENTION

The present invention is generally related to light sources, and more particularly to traffic signal lights including those incorporating both incandescent and solid state light sources.

### BACKGROUND OF THE INVENTION

Traffic signal lights have been around for years and are used to efficiently control traffic through intersections. While traffic signals have been around for years, improvements continue to be made in the areas of traffic signal light control algorithms, traffic volume detection, and emergency vehicle detection.

One of the current needs with respect to traffic signal lights is the ability to generate a homogenous light beam, that is, a light beam having a uniform intensity there across. Conventional incandescent lights tend to generate a light beam having a greater intensity at the center portion than the outer portions of the light beam. With respect to current solid state light sources, while LED arrays are now starting to be implemented, the light output of these devices can have non uniform beam intensities, due to optics and when one or more LEDs have failed.

A particular problem with current traffic signal lights is that the cover for the housing retaining the light source is comprised of two pieces, a lens piece and a lens holding member. The interface between the lens and the lens holding member is typically sealed with a rubber seal to retard water and environmental elements from communicating with the light source and enclosed electronics. However, time, temperature and extreme operating conditions quickly degrade

5 this environmental seal, which degradation limits the operation and operational life of the signal head. Since conventional detachable lenses are prone to allowing environmental elements to penetrate this light housing, the DOT personnel are often required to go up in a bucket truck to clean the signal including both the inner surface and the outer surface of the lenses.

Conventional traffic signals are also typically equipped with external video cameras and sensors for monitoring traffic. These electronic devices are being disposed external to the traffic signal which exposes these devices to the environmental elements, increasing costs, reducing operational life and providing an otherwise aesthetic displeasing design.

There is desired an improved solid state light source generating a homogenous light beam having an improved cover design retarding environmental elements from penetrating about a lens to the light source electronics.

## **SUMMARY OF THE INVENTION**

5 The present invention achieves technical advantages as a solid state light source having a unitary cover including an integral lens portion particularly useful in traffic control signals.

10 The solid state light apparatus comprises a housing having a cavity, an area array of light emitting diodes (LEDs) disposed in the housing cavity and generating a light beam, and a unitary transparent cover coupled to the housing and sealingly disposed across the cavity. This transparent cover has an integral inner portion and outer portion, whereby the inner portion is convex and shaped as a lens. The lens transmits the light beam emitted by the LED area array, with the outer portion extending outwardly from the lens. Since the lens is integral to the cover, there is no discontinuity between the inner portion and an outer portion which could otherwise allow environmental elements and water to permeate through the cover, which is a problem with many conventional traffic lamps currently being used.

15 In a preferred embodiment to the present invention, a light diffuser is disposed between the LED array and the lens. Since the unitary cover is transparent, the solid state light apparatus may further be equipped with an electronic detection device in the housing cavity and being viewable through the transparent cover second portion. This electronic device may include a camera, other electronic devices including video loop detectors, emergency detection devices and so forth. The unitary cover may be comprised of a plastic or glass material, but is preferably comprised of a lighter weight plastic material which can be formed by a molding process. The generated light beam preferably has an intensity complying with DOT requirements.

20 In a preferred embodiment, each LED comprises a semiconductor die such as a vertical cavity surface emitting laser (VCSEL) which generates a light

source being generally perpendicular to the respective LED die, and may have an intensity of at least 100 mW. The lens is preferably a Fresnel lens. A method of using the traffic apparatus is also included within the scope of the present invention.

5

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Figure 1A and Figure 2A is a front perspective view and rear perspective view, respectively, of a solid state light apparatus according to a first preferred embodiment of the present invention including an optical alignment eye piece;

Figure 2A and Figure 2B is a front perspective view and a rear perspective view, respectively, of a second preferred embodiment having a solar louvered external air cooled heatsink;

Figure 3 is a side sectional view of the apparatus shown in Figure 1 illustrating the electronic and optical assembly and lens system comprising an array of LEDs directly mounted to a heatsink, directing light through a diffuser and through a Fresnel lens;

Figure 4 is a perspective view of the electronic and optical assembly comprising the LED array, lense holder, light diffuser, power supply, main motherboard and daughterboard;

Figure 5 is a side view of the assembly of Figure 4 illustrating the array of LEDs being directly mounted to the heatsink, below respective lenses and disposed beneath a light diffuser, the heatsink for terminally dissipating generated heat;

Figure 6 is a top view of the electronics assembly of Figure 4;

Figure 7 is a side view of the electronics assembly of Figure 4;

Figure 8 is a top view of the lens holder adapted to hold lenses for the array of LEDs;

Figure 9 is a sectional view taken along lines 9-9 in Figure 8 illustrating a shoulder and side wall adapted to securely receive a respective lens for a LED mounted thereunder;

5 Figure 10 is a top view of the heatsink comprised of a thermally conductive material and adapted to securely receive each LED, the LED holder of Figure 8, as well as the other componentry;

Figure 11 is a side view of the light diffuser depicting its radius of curvature;

Figure 12 is a top view of the light diffuser of Figure 11 illustrating the mounting flanges thereof;

Figure 13 is a top view of a Fresnel lens as shown in Figure 3;

Figure 14A is a view of a remote monitor displaying an image generated by a video camera in the light apparatus to facilitate electronic alignment of the LED light beam;

Figure 14B is a perspective view of the lid of the apparatus shown in Figure 1 having a grid overlay for use with the optical alignment system;

Figure 15 is a perspective view of the optical alignment system eye piece adapted to connect to the rear of the light unit shown in Figure 1;

20 Figure 16 is a schematic diagram of the control circuitry disposed on the daughterboard and incorporating various features of the invention including control logic, as well as light detectors for sensing ambient light and reflected generated light from the light diffuser used to determine and control the light output from the solid state light;

25 Figure 17 is an algorithm depicting the sensing of ambient light and backscattered light to selectably provide a constant output of light;

Figure 18a and Figure 18B are side sectional views of an alternative preferred embodiment including a heatsink with recesses, with the LED's wired in parallel and series, respectively;



Figure 19 is an algorithm depicting generating information indicative of the light operation, function and prediction of when the said state apparatus will fail or provide output below acceptable light output;

Figure 20 and 21 illustrate operating characteristics of the LEDs as a function of PWM duty cycles and temperature as a function of generated output light;

Figure 22 is a block diagram of a modular light apparatus having selectively interchangeable devices that are field replaceable;

Figure 23 is a perspective view of a light guide having a light channel for each LED to direct the respective LED light to the diffuser;

Figure 24 shows a top view of Figure 23 of the light guide for use with the diffuser;

Figure 25 shows a side sectional view taken along line 24-24 in Figure 3 illustrating a separate light guide cavity for each LED extending to the light diffuser;

Figure 26 is a front view of a preferred embodiment of a solid state light source including the unitary transparent cover having an inner lens and an integral outer portion; and

Figure 27 is a sectional view Figure 26 illustrating the unitary cover having a molded central lens portion.

## **DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS**

Referring now to Figure 1A, there is illustrated generally at 10 a front perspective view of a solid state lamp apparatus according to a first preferred embodiment of the present invention. Light apparatus 10 is seen to comprise a trapezoidal shaped housing 12, preferably comprised of plastic formed by a plastic molding injection techniques, and having adapted to the front thereof a

pivoting lid 14. Lid 14 is seen to have a window 16, as will be discussed shortly, permitting light generated from within housing 12 to be emitted as a light beam therethrough. Lid 14 is selectively and securable attached to housing 12 via a hinge assemble 17 and secured via latch 18 which is juxtaposed with respect to a housing latch 19, as shown.

Referring now to Figure 1B and Figure 2B, there is illustrated a second preferred embodiment of the present invention at 32 similar to apparatus 10, whereby a housing 33 includes a solar louver 34 as shown in Figure 2B. The solar louver 34 is secured to housing 33 and disposed over a external heatsink 20 which shields the external heatsink 20 from solar radiation while permitting outside airflow across the heatsink 20 and under the shield 34, thereby significantly improving cooling efficiency as will be discussed more shortly.

Referring to Figure 2A, there is shown light apparatus 10 of Figure 1A having a rear removable back member 20 comprised of thermally conductive material and forming a heatsink for radiating heat generated by the internal solid state light source, to be discussed shortly. Heatsink 20 is seen to have secured thereto a pair hinges 22 which are rotatably coupled to respective hinge members 23 which are securely attached and integral to the bottom of the housing 12, as shown. Heatsink 20 is further seen to include a pair of opposing upper latches 24 selectively securable to respective opposing latches 25 forming an integral portion of and secured to housing 12. By selectively disconnecting latches 24 from respective latches 25, the entire rear heatsink 20 may be pivoted about members 23 to access the internal portion of housing 12, as well as the light assembly secured to the front surface of heatsink 20, as will be discussed shortly in regards to Figure 3.

Still referring to Figure 2A, light apparatus 10 is further seen to include a rear eye piece 26 including a U-shaped bracket extending about heatsink 20 and secured to housing 12 by slidably locking into a pair of respective locking

members 29 securely affixed to respective sidewalls of housing 12. Eye piece 26 is also seen to have a cylindrical optical sight member 28 formed at a central portion of, and extending rearward from, housing 12 to permit a user to optically view through apparatus 10 via optically aligned window 16 to determine the direction a light beam, and each LED, is directed, as will be described in more detail with reference to Figure 14 and Figure 15. Also shown is housing 12 having an upper opening 30 with a serrated collar centrally located within the top portion of housing 12, and opposing opening 30 at the lower end thereof, as shown in Figure 3. Openings 30 facilitate securing apparatus 10 to a pair of vertical posts allowing rotation laterally thereabout.

Referring now to Figure 3, there is shown a detailed cross sectional view taken along line 3-3 in Figure 1, illustrating a solid state light assembly 40 secured to rear heatsink 20 in such an arrangement as to facilitate the transfer of heat generated by light assembly 40 to heatsink 20 for the dissipation of heat to the ambient via heatsink 20.

Solid state light assembly 40 is seen to comprise an array of light emitting diodes (LEDs) 42 aligned in a matrix, preferably comprising an 8 X 8 array of LEDs each capable of generating a light output of 1-3 lumens. However, limitation to the number of LEDs or the light output of each is not to be inferred. Each LED 42 is directly bonded to heatsink 20 within a respective light reflector comprising a recess defined therein. Each LED 42 is hermetically sealed by a glass material sealingly diffused at a low temperature over the LED die 42 and the wire bond thereto, such as 8000 Angstroms of,  $\text{SiO}_2$  or  $\text{Si}_3\text{N}_4$  material diffused using a semiconductor process. The technical advantages of this glass to metal hermetic seal over plastic/epoxy seals is significantly a longer LED life due to protecting the LED die from oxygen, humidity and other contaminants. If desired, for more light output, multiple LED dies 42 can be disposed in one reflector recess. Each LED 42 is directly secured to, and in thermal contact

arrangement with, heatsink 20, whereby each LED is able to thermally dissipate heat via the bottom surface of the LED. Interfaced between the planar rear surface of each LED 42 is a thin layer of heat conductive material 46, such as a thin layer of epoxy or other suitable heat conductive material insuring that the entire rear surface of each LED 42 is in good thermal contact with rear heatsink 20 to efficiently thermally dissipate the heat generated by the LEDs. Each LED connected electrically in parallel has its cathode electrically coupled to the heatsink 20, and its Anode coupled to drive circuitry disposed on daughterboard 60. Alternatively, if each LED is electrically connected in series, the heatsink 20 preferably is comprised of an electrically non-conductive material such as ceramic.

Further shown in Figure 3 is a main circuit board 48 secured to the front surface of heatsink 20, and having a central opening for allowing LED to pass generated light therethrough. LED holder 44 mates to the main circuit board 48 above and around the LED's 42, and supports a lens 86 above each LED. Also shown is a light diffuser 50 secured above the LEDs 42 by a plurality of standoffs 52, and having a rear curved surface 54 spaced from and disposed above the LED solid state light source 40, as shown. Each lens 86 (Figure 9) is adapted to ensure each LED 42 generates light which impinges the rear surface 54 having the same surface area. Specifically, the lenses 86 at the center of the LED array have smaller radius of curvature than the lenses 86 covering the peripheral LEDs 42. The diffusing lenses 46 ensure each LED illuminates the same surface area of light diffuser 50, thereby providing a homogeneous (uniform) light beam of constant intensity.

A daughter circuit board 60 is secured to one end of heatsink 20 and main circuit board 48 by a plurality of standoffs 62, as shown. At the other end thereof is a power supply 70 secured to the main circuit board 48 and adapted to provide the required drive current and drive voltage to the LEDs 42 comprising solid state

light source 40, as well as electronic circuitry disposed on daughterboard 60, as will be discussed shortly in regards to the schematic diagram shown in Figure 16. Light diffuser 50 uniformly diffuses and directs/columnates light generated from LEDs 42 of solid state light source 40 to produce a homogeneous light beam directed toward window 16.

Window 16 is seen to comprise a lens 70, and a Fresnel or prism lens 72 in direct contact with lens 70 and interposed between lens 70 and the interior of housing 12 and facing light diffuser 50 and solid state light source 40. Lid 14 is seen to have a collar defining a shoulder 76 securely engaging and holding both of the round lens 70 and 72, as shown, and transparent sheet 73 having defined thereon grid 74 as will be discussed further shortly. One of the lenses 70 or 72 are colored to produce a desired color used to control traffic including green, yellow, red, white and orange.

It has been found that with the external heatsink being exposed to the outside air the outside heatsink 20 cools the LED die temperature up to 50°C over a device not having a external heatsink. This is especially advantageous when the sun setting to the west late in the afternoon such as at an elevation of 10° or less, when the solar radiation directed in to the lenses and LEDs significantly increasing the operating temperature of the LED die for westerly facing signals. The external heatsink 20 prevents extreme internal operating air and die temperatures and prevents thermal runaway of the electronics therein.

Referring now to Figure 4, there is shown the electronic and optic assembly comprising of solid state light source 40, light diffuser 50, main circuit board 48, daughter board 60, and power supply 70. As illustrated, the electronic circuitry on daughter board 60 is elevated above the main board 48, whereby standoffs 62 are comprised of thermally nonconductive material.

Referring to Figure 5, there is shown a side view of the assembly of Figure 4 illustrating the concave light diffuser 50 being axially centered and having a

convex bottom surface disposed above the solid state LED array 40. Diffuser 50, in combination with the varying diameter lenses 86, facilitates light generated from the area array of LEDs 42 to be uniformly disbursed and have uniform intensity and directed upwardly upon and across the convex bottom surface of the light diffuser 50 such that a homogenous light beam is generated toward the lens 70 and 72, as shown in Figure 3. The lenses 86 proximate the center of the area array have a smaller radius of curvature than the peripheral lenses 86 which tend to be flatter. this lens arrangement provides that the LEDs 42 uniformly illuminate the curved diffuser 50, even at the upwardly curved edges thereof. the outer lenses 86, tend to columnate the light of the peripheral LEDs more than the central lenses 86. Each LED illuminates an equal area of the diffuser.

Referring now to Figure 6, there is shown a top view of the assembly shown in Figure 4, whereby Figure 7 illustrates a side view of the same.

Referring now to Figure 8, there is shown a top view of the lens holder 44 comprising a plurality of openings 80 each adapted to receive one of the LED lenses 86 hermetically sealed to and bonded thereover. Advantageously, the glass to metal hermetic seal has been found in this solid state light application to provide excellent thermal conductivity and hermetic sealing characteristics. Each opening 80 is shown to be defined in a tight pack arrangement about the plurality of LEDs 42. As previously mentioned, the lenses 86 at the center of the array, shown at 81, have a smaller curvature diameter than the lenses 86 over the perimeter LEDs 42 to increase light dispersion and ensure uniform light intensity impinging diffuser 50.

Referring to Figure 9, there is shown a cross section taken alone line 9-9 in Figure 8 illustrating each opening 80 having an annular shoulder 82 and a lateral sidewall 84 defined so that each cylindrical lens 86 is securely disposed within opening 80 above a respective LED 42. Each LED 42 is preferably

mounted to heatsink 20 using a thermally conductive adhesive material such as epoxy to ensure there is no air gaps between the LED 42 and the heatsink 20. The present invention derives technical advantages by facilitating the efficient transfer of heat from LED 42 to the heatsink 20.

5 Referring now to Figure 10, there is shown a top view of the main circuit board 48 having a plurality of openings 90 facilitating the attachment of standoffs 62 securing the daughter board above an end region 92. The power supply 48 is adapted to be secured above region 94 and secured via fasteners disposed through respective openings 96 at each corner thereof. Center region 98 is adapted to receive and have secured there against in a thermal conductive relationship the LED holder 42 with the thermally conductive material 46 being disposed thereupon. The thermally conductive material preferably comprises of epoxy, having dimensions of, for instance, .05 inches. A large opening 99 facilitates the attachment of LED's 42 to the heatsink 20, and such that light from the LEDs 42 is directed to the light diffuser 50.

10 Referring now to Figure 11, there is shown a side elevational view of diffuser 50 having a lower concave surface 54, preferably having a radius A of about 2.4 inches, with the overall diameter B of the diffuser including a flange 55 being about 6 inches. The depth of the rear surface 52 is about 1.85 inches as shown as dimension C.

15 Referring to Figure 12, there is shown a top view of the diffuser 50 including the flange 56 and a plurality of openings 58 in the flange 56 for facilitating the attachment of standoffs 52 to and between diffuser 50 and the heatsink 20, shown in Figure 4.

20 Referring now to Figure 13 there is shown the Fresnel lens 72, preferably having a diameter D of about 12.2 inches. However, limitation to this dimension is not to be inferred, but rather, is shown for purposes of the preferred embodiment of the present invention. The Fresnel lens 72 has a predetermined

thickness, preferably in the range of about 1/16 inches. This lens is typically fabricated by being cut from a commercially available Fresnel lens.

Referring now back to Figure 1A and Figure 1B, there is shown generally at 56 a video camera oriented to view forward of the front face of solid state lamp 10 and 30, respectively. The view of this video camera 56 is precisionally aligned to view along and generally parallel to the central longitudinal axis shown at 58 that the beam of light generated by the internal LED array is oriented. Specifically, at large distances, such as greater than 20 feet, the video camera 56 generates an image having a center of the image generally aligned with the center of the light beam directed down the center axis 58. This allows the field technician to remotely electronically align the orientation of the light beam referencing this video image.

For instance, in one preferred embodiment the control electronics 60 has software generating and overlaying a grid along with the video image for display at a remote display terminal, such as a LCD or CRT display shown at 59 in Figure 14A. This video image is transmitted electronically either by wire using a modem, or by wireless communication using a transmitter allowing the field technician on the ground to ascertain that portion of the road that is in the field of view of the generated light beam. By referencing this displayed image, the field technician can program which LEDs 42 should be electronically turned on, with the other LEDs 42 remaining off, such that the generated light beam will be focused by the associated optics including the Fresnel lens 72, to the proper lane of traffic. Thus, on the ground, the field technician can electronically direct the generated light beam from the LED arrays, by referencing the video image, to the proper location on the ground without mechanical adjustment at the light source, such as by an operator situated in a DOT bucket. For instance, if it is intended that the objects viewable and associated with the upper four windows defined by the grid should be illuminated, such as those objects viewable



through the windows labeled as W in Figure 14A, the LEDs 42 associated with the respective windows "W" will be turned on, with the rest of the LEDs 46 associated with the other windows being turned off. Preferably, there is one LED 46 associated with each window defined by the grid. Alternatively, a transparent sheet 73 having a grid 74 defining windows 78 can be laid over the display surface of the remote monitor 59 whereby each window 78 corresponds with one LED. For instance, there may be 64 windows associated with the 64 LEDs of the LED array. Individual control of the respective LEDs is discussed hereafter in reference to Figure 14A. The video camera 56, such as a CCD camera or a CMCS camera, is physically aligned along the central axis 58, such that at extended distances the viewing area of the camera 56 is generally along the axis 58 and thus is optically aligned with regards to the normal axis 58 for purposes of optical alignment.

Referring now to Figure 14B, there is illustrated the lid 14, the hinge members 17, and the respective latches 18. Holder 14 is seen to further have an annular flange member 70 defining a side wall about window 16, as shown. Further shown the transparent sheet 73 and grid 74 comprising of thin line markings defined over openings 16 defining windows 78. The sheet can be selectively placed over window 16 for alignment, and which is removable therefrom after alignment. Each window 78 is precisionally aligned with and corresponds to one sixty four (64) LEDs 42. Indicia 79 is provided to label the windows 78, with the column markings preferably being alphanumeric, and the columns being numeric. The windows 78 are viable through optical sight member 28, via an opening in heatsink 20. The objects viewed in each window 78 are illuminated substantially by the respective LED 42, allowing a technician to precisionally orient the apparatus 10 so that the desired LEDs 42 are oriented to direct light along a desired path and be viewed in a desired traffic lane. The sight member 28 may be provided with cross hairs to provide increased

resolution in combination with the grid 74 for alignment.

Moreover, electronic circuitry 100 on daughterboard 60 can drive only selected LEDs 42 or selected 4 X 4 portions of array 40, such as a total of 16 LED's 42 being driven at any one time. Since different LED's have lenses 86 with different radius of curvature different thicknesses, or even comprised of different materials, the overall light beam can be electronically steered in about a 15° cone of light relative to a central axis defined by window 16 and normal to the array center axis.

For instance, driving the lower left 4 X 4 array of LEDs 42, with the other LEDs off, in combination with the diffuser 50 and lens 70 and 72, creates a light beam +7.5 degrees above a horizontal axis normal to the center of the 8 X 8 array of LEDs 42, and +7.5 degrees right of a vertical axis. Likewise, driving the upper right 4 X 4 array of LEDs 42 would create a light beam +10 degrees off the horizontal axis and +7.5 degrees to the right of a normalized vertical axis and - 7.5 degrees below a vertical axis. The radius of curvature of the center lenses 86 may be, for instance, half that of the peripheral lenses 86. A beam steerable +/-7.5 degrees in 1-2 degree increments is selectable. This feature is particularly useful when masking the opening 16, such as to create a turn arrow. This further reduces ghosting or roll-off, which is stray light being directed in an unintended direction and viewable from an unintended traffic lane.

The electronically controlled LED array provides several technical advantages including no light is blocked, but rather is electronically steered to control a beam direction. Low power LEDs are used, whereby the small number of the LEDs "on" (i.e. 4 of 64) consume a total power about 1-2 watts, as opposed to an incandescent prior art bulb consuming 150 watts or a flood 15 watt LED which are masked or lowered. The present invention reduces power and heat generated thereby.

Referring now to Figure 15, there is shown a perspective view of the eye

piece 26 as well as the optical sight member 28, as shown in Figure 1. the center axis of optical sight member 28 is oriented along the center of the 8 X 8 LED array.

Referring now to Figure 16, there is shown at 100 a schematic diagram of the circuitry controlling light apparatus 10. Circuit 10 is formed on the daughter board 60, and is electrically connected to the LED solid state light source 40, and selectively drives each of the individual LEDs 42 comprising the array. Depicted in Figure 16 is a complex programmable logic device (CPLD) shown as U1. CPLD U1 is preferably an off-the-shelf component such as provided by Maxim Corporation, however, limitation to this specific part is not to be inferred. For instance, discrete logic could be provided in place of CPLD U1 to provide the functions as is described here, with it being understood that a CPLD is the preferred embodiment is of the present invention. CPLD U1 has a plurality of interface pins, and this embodiment, shown to have a total of 144 connection pins. Each of these pin are numbered and shown to be connected to the respective circuitry as will now be described.

Shown generally at 102 is a clock circuit providing a clock signal on line 104 to pin 125 of the CPLD U1. Preferably, this clock signal is a square wave provided at a frequency of 32.768 KHz. Clock circuit 102 is seen to include a crystal oscillator 106 coupled to an operational amplifier U5 and includes associated trim components including capacitors and resistors, and is seen to be connected to a first power supply having a voltage of about 3.3 volts.

Still referring to Figure 16, there is shown at 110 a power up clear circuit comprised of an operational amplifier shown at U6 preferably having the non-inverting output coupled to pin 127 of CPLD U1. The inverting input is seen to be coupled between a pair of resistors providing a voltage divide circuit, providing approximately a 2.425 volt reference signal based on a power supply of 4.85 volts being provided to the positive rail of the voltage divide network. The

inverting input is preferably coupled to the 4.85 voltage reference via a current limiting resistor, as shown.

As shown at 112, an operational amplifier U9 is shown to have its non-inverting output connected to pin 109 of CPLD U1. Operational amplifier U9 provides a power down function.

Referring now to circuit 120, there is shown a light intensity detection circuit detecting ambient light intensity and comprising of a photo diode identified as PD1. An operational amplifier depicted as U7 is seen to have its non-inverting input coupled to input pin 99 of CPLD U1. The non-inverting input of amplifier U7 is connected to the anode of photo diode PD1, which photo diode has its cathode connected via a capacitor to the second power supply having a voltage of about 4.85 volts. The non-inverting input of amplifier U7 is also connected via a diode Q1, depicted as a transistor with its emitter tied to its base and provided with a current limiting resistor. The inverting input of amplifier U7 is connected via a resistor to input 108 of CPLD U1.

Shown at 122 is a similar light detection circuit detecting the intensity of back scattered light from Fresnel lens 72 as shown at 124 in Figure 3, and based around a second photo diode PD2, including an amplifier U10 and a diode Q2. The non-inverting output of amplifier U10, forming a buffer, is connected to pin 82 of CPLD U1.

An LED drive connector is shown at 130 serially interfaces LED drive signal data to drive circuitry of the LEDs 42. (Inventors please describe the additional drive circuit schematic).

Shown at 140 is another connector adapted to interface control signals from CPLD U1 to an initiation control circuit for the LED's.

Each of the LEDs 42 is individually controlled by CPLD U1 whereby the intensity of each LED 42 is controlled by the CPLD U1 selectively controlling a drive current thereto, a drive voltage, or adjusting a duty cycle of a pulse width

modulation (PWM) drive signal, and as a function of sensed optical feedback signals derived from the photo diodes as will be described shortly here, in reference to Figure 17.

Referring to Figure 17 in view of Figure 3, there is illustrated how light generated by solid state LED array 40 is diffused by diffuser 50, and a small portion 124 of which is back-scattered by the inner surface of Fresnel lens 72 back toward the surface of daughter board 60. The back-scattered diffused light 124 is sensed by photo diodes PD2, shown in Figure 16. The intensity of this back-scattered light 124 is measured by circuit 122 and provided to CPLD U1. CPLD U1 measures the intensity of the ambient light via circuit 120 using photo diode PD1. The light generated by LED's 42 is preferably distinguished by CPLD U1 by strobing the LEDs 42 using pulse width modulation (PWM) to discern ambient light (not pulsed) from the light generated by LEDs 42.

CPLD U1 individually controls the drive current, drive voltage, or PWM duty cycle to each of the respective LEDs 42 as a function of the light detected by circuits 120 and 122. For instance, it is expected that between 3 and 4% of the light generated by LED array 40 will back-scatter back from the Fresnel lens 72 toward to the circuitry 100 disposed on daughter board 60 for detection. By normalizing the expected reflected light to be detected by photo diodes PD2 in circuit 122, for a given intensity of light to be emitted by LED array 40 through window 16 of lid 14, optical feedback is used to ensure an appropriate light output, and a constant light output from apparatus 10.

For instance, if the sensed back-scattered light, depicted as rays 124 in Figure 3, is detected by photo diodes PD2 to fall about 2.5% from the normalized expected light to be sensed by photo diodes PD2, such as due to age of the LEDs 42, CPLD U1 responsively increases the drive current to the LEDs a predicted percentage, until the back-scattered light as detected by photo diodes PD2 is detected to be the normalized sensed light intensity. Thus, as the light

output of LEDs 42 degrade over time, which is typical with LEDs, circuit 100 compensates for such degradation of light output, as well as for the failure of any individual LED to ensure that light generated by array 40 and transmitted through window 16 meets Department of Transportation (DOT) standards, such as a 44 point test. This optical feedback compensation technique is also advantageous to compensate for the temporary light output reduction when LEDs become heated, such as during day operation, known as the recoverable light, which recoverable light also varies over temperatures as well. Permanent light loss is over time of operation due to degradation of the chemical composition of the LED semiconductor material.

Preferably, each of the LEDs is driven by a pulse width modulated (PWM) drive signal, providing current during a predetermined portion of the duty cycle, such as for instance, 50%. As the LEDs age and decrease in light output intensity, and also during a day due to daily temperature variations, the duty cycle may be responsively, slowly and continuously increased or adjusted such that the duty cycle is appropriate until the intensity of detected light by photo diodes PD2 is detected to be the normalized detected light. When the light sensed by photo diodes PD2 are determined by controller 60 to fall below a predetermined threshold indicative of the overall light output being below DOT standards, a notification signal is generated by the CPLD U1 which may be electronically generated and transmitted by an RF modem, for instance, to a remote operator allowing the dispatch of service personnel to service the light. Alternatively, the apparatus 10 can responsively be shut down entirely.

Referring now to Figure 18A and Figure 18B, there is shown an alternative preferred embodiment of the present invention including a heatsink 200 machined or stamped to have an array of reflectors 202. Each recess 202 is defined by outwardly tapered sidewalls 204 and a base surface 208, each recess 202 having mounted thereon a respective LED 42. A lens array having a

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10  
15  
20  
25  
separate lens 210 for each LED 42 is secured to the heatsink 200 over each recess 202, eliminating the need for a lens holder. The tapered sidewalls 206 serve as light reflectors to direct generated light through the respective lens 210 at an appropriate angle to direct the associated light to the diffuser 50 having the same surface area of illumination for each LED 42. In one embodiment, as shown in Figure 18A, LEDs 42 are electrically connected in parallel. The cathode of each LED 42 is electrically coupled to the electrically conductive heatsink 200, with a respective lead 212 from the anode being coupled to drive circuitry 216 disposed as a thin film PCB 45 adhered to the surface of the heatsink 200, or defined on the daughterboard 60 as desired. Alternatively, as shown in Figure 18B, each of the LED's may be electrically connected in series, such as in groups of three, and disposed on an electrically non-conductive thermally conductive material 43 such as ceramic, diamond, SiN or other suitable materials. In a further embodiment, the electrically non-conductive thermally conductive material may be formed in a single process by using a semiconductor process, such as diffusing a thin layer of material in a vacuum chamber, such as 8000 Angstroms of SiN, which a further step of defining electrically conductive circuit traces 45 on this thin layer.

20  
25  
Figure 19 shows an algorithm controller 60 applies for predicting when the solid state light apparatus will fail, and when the solid state light apparatus will produce a beam of light having an intensity below a predetermined minimum intensity such as that established by the DOT. Referring to the graphs in Figure 20 and 21, the known operating characteristics of the particular LEDs produced by the LED manufacture are illustrated and stored in memory, allowing the controller 60 to predict when the LED is about the fail. Knowing the LED drive current operating temperature, and total time the LED as been on, the controller 60 determines which operating curve in Figure 20 and Figure 21 applies to the current operating conditions, and determines the time until the LED will degrade

to a performance level below spec, i.e. below DOT minimum intensity requirements.

Figure 22 depicts a block diagram of the modular solid state traffic light device. The modular field-replaceable devices are each adapted to selectively interface with the control logic daughterboard 60 via a suitable mating connector set. Each of these modular field replaceable devices 216 are preferably embodied as a separate card, with possibly one or more feature on a single field replaceable card, adapted to attach to daughterboard 60 by sliding into or bolting to the daughterboard 60. The devices can be selected from, alone or in combination with, a pre-emption device, a chemical sniffer, a video loop detector, an adaptive control device, a red light running (RLR) device, and an in-car telematic device, infrared sensors to sense people and vehicles under fog, rain, smog and other adverse visual conditions, automobile emission monitoring, various communication links, electronically steerable beam, exhaust emission violations detection, power supply predictive failure analysis, or other suitable traffic devices.

The solid state light apparatus 10 of the present invention has numerous technical advantages, including the ability to sink heat generated from the LED array to thereby reduce the operating temperature of the LEDs and increase the useful life thereof. Moreover, the control circuitry driving the LEDs includes optical feedback for detecting a portion of the back-scattered light from the LED array, as well as the intensity of the ambient light, facilitating controlling the individual drive currents, drive voltages, or increasing the duty cycles of the drive voltage, such that the overall light intensity emitted by the LED array 40 is constant, and meets DOT requirements. The apparatus is modular in that individual sections can be replaced at a modular level as upgrades become available, and to facilitate easy repair. With regards to circuitry 100, CPLD U1 is securable within a respective socket, and can be replaced or reprogrammed as



improvements to the logic become available. Other advantages include programming CPLD U1 such that each of the LEDs 42 comprising array 40 can have different drive currents or drive voltages to provide an overall beam of light having beam characteristics with predetermined and preferably parameters. For instance, the beam can be selectively directed into two directions by driving only portions of the LED array in combination with lens 70 and 72. One portion of the beam may be selected to be more intense than other portions of the beam, and selectively directed off axis from a central axis of the LED array 40 using the optics and the electronic beam steering driving arrangement.

Referring now to Figure 23, there is shown at 220 a light guide device having a concave upper surface and a plurality of vertical light guides shown at 222. One light guide 222 having a light reflective inner surface is provided for and positioned over each LED 42, which light guide 222 upwardly directs the light generated by the respective LED 42 to impinge the bottom convex surface of the concave diffuser 54. The light guides 222 taper outwardly at a top end thereof, as shown in Figure 24 and Figure 25, such that the area at the top of each light guide 222 is identical. Thus, each LED 42 illuminates an equal surface area of the light diffuser 54, thereby providing a uniform intensity light beam from light diffuser 54. A thin membrane 224 defines the light guide, like a honeycomb, and tapers outwardly to a point edge at the top of the device 220. These point edges are separated by a small vertical distance D shown in Figure 25, such as 1 mm, from the above diffuser 54 to ensure uniform lighting at the transition edges of the light guides 222 while preventing bleeding of light laterally between guides, and to prevent light roll-off by generating a homogeneous beam of light. Vertical recesses 226 permit standoffs 52 extending along the sides of device 220 (see Figure 3) to support the peripheral edge of the diffuser 54. The lateral light guides are narrower than the central light guides due to the upward curvature of the diffuser edges.

Referring now to Figure 26, there is generally shown at 300 a solid state light apparatus including an area array of LEDs 46 disposed therein, as discussed in reference to the previous Figures and described in earlier considerable detail, further including a unitary transparent front cover 302. The unitary cover 302 is particularly distinguished in having a central lens portion 304 and extending outwardly therefrom, preferably shaped as a Fresnel lens, and having an integral outer portion 306 encompassing the lens 304 and having a generally flat profile. This solid state light apparatus 300 derives technical advantages whereby the unitary cover 302 is a single integral component, that is, with the lens 304 being continuous with the encompassing outer portion 306, and thus is not susceptible to water and environmental elements penetrating around the edges of the lens 304 into the housing 308 of the light apparatus 300. The outer portion 306 of the unitary cover 302 is sealingly coupled to the light housing 308, and is pivotably attached thereto by a pair of hinges 312. The cover 302 is adapted to be locked into the sealed position, as shown in Figure 26, which locking mechanism can include a screw or other suitable fastener.

Referring to Figure 27, there is shown a side sectional view of the unitary cover 302 taken along line 27-27 in Figure 26. As illustrated, the central Fresnel lens 304 has a convex inner and outer surface, generally shown at 316, and which extends continuously at the perimeter thereof to the outer portion 306 as shown. Illustrated in Figure 27 is the cover 302 formed of a lightweight plastic material, which may be formed from a molding process, but which may also be formed of a glass material if desired.

Referring back to Figure 26, there is shown at 320 a second lens or window which may be formed integral to the outer portion 306. A video camera is disposed within the cavity of housing 308 and is positioned to view forwardly through the transparent cover, such as the clear lens portion 320 and is directed at traffic being controlled by the respective light apparatus 300. Thus, the clear

unitary cover 302 achieves additional advantages by permitting internal electronic devices to be positioned therewithin and view outwardly through the clear portions about the lens 304. While a video camera is one preferred electronic device, other suitable devices are previously mentioned in earlier portions of this application, and can include video loop detectors, emergency vehicle detection devices and so forth. If desired, a solar shield may be placed about the lens 304 if desired to shade sunlight from the lens 304, although this is not necessary given the high directionality of the uniform narrow beam generated by the internal LED array. Moreover, the surface of the outer portion 306 may be provided with an opaque material, such as black paint, if desired about those portions of the cover 302 not facilitating a view for internal electrical equipment.

While the invention has been described in conjunction with preferred embodiments, it should be understood that modifications will become apparent to those of ordinary skill in the art and that such modifications are therein to be included within the scope of the invention and the following claims.

**WE CLAIM:**

1. A solid state light apparatus, comprising:  
a housing having a cavity;  
an area array of light emitting diodes (LEDs) disposed in said housing cavity and generating a light beam; and  
a unitary cover coupled to said housing and disposed across said cavity, said cover having an integral inner portion and outer portion, said inner portion being convex and shaped as a lens, said lens transmitting said light beam emitted by said LED area array, and said outer portion extending outwardly from said lens.
2. The solid state light apparatus specified in Claim 1 wherein said unitary cover is transparent.
3. The solid state light apparatus specified in Claim 2 further comprising a light diffuser positioned closely proximate said LED array and adapted to mix and direct said light beam.
4. The solid state light apparatus specified in Claim 1 further comprising an electronic detection device disposed in said housing cavity and being viewable through said transparent cover second portion.
5. The solid state light apparatus specified in Claim 4 wherein said electronic device comprises a camera.

6. The solid state light apparatus specified in Claim 1 wherein said unitary cover is sealingly coupled to said housing and adapted to retard environmental elements from communicating with said housing cavity.
7. The solid state light apparatus specified in Claim 1 wherein said cover outer portion encompasses said cover inner portion.
8. The solid state light apparatus specified in Claim 1 wherein said cover inner portion has a circular periphery.
9. The solid state light apparatus specified in Claim 8 wherein said cover outer portion has a rectangular periphery.
10. The solid state light apparatus specified in Claim 1 wherein said unitary cover is comprised of a plastic material.
11. The solid state light apparatus specified in Claim 1 wherein said unitary cover is comprised of a glass material.
12. The solid state light apparatus specified in Claim 10 wherein said unitary cover is formed by a molding process.
13. The solid state light apparatus specified in Claim 1 wherein said cover outer portion includes a second lens separated from said inner portion lens.
14. The solid state light apparatus specified in Claim 1 wherein said light beam has an intensity complying with DOT requirements.

15. The solid state light apparatus specified in Claim 1 wherein each said LED comprises a semiconductor die.

16. The solid state light apparatus specified in Claim 15 wherein each said LED die generates a light source being generally perpendicular to said respective LED die.

17. The solid state light apparatus specified in Claim 1 wherein said lens is a prism or clear lens, with a prism attached.

18. The solid state light apparatus specified in Claim 1 wherein said lens comprises a prism.

19. A method of controlling traffic using a solid state light apparatus, comprising:

a housing having a cavity;

an area array of light emitting diodes (LEDs) disposed in said housing cavity and generating a light beam; and

a unitary cover coupled to said housing and disposed across said cavity, said cover having an integral inner portion and outer portion, said inner portion being convex and shaped as a lens, said lens transmitting said light beam emitted by said LED area array, and said outer portion extending outwardly from said lens;

comprising the step of:

selectively operating said light apparatus at a roadway intersection.



**ABSTRACT**

A solid state light apparatus suited for use in traffic control signals and having a unitary transparent cover generating a homogenous light beam. A solid state light source comprises an area array of LEDs uniformly illuminating a light diffuser to achieve the homogenous light beam. The unitary cover has a lens defined at an inner portion thereof, and an outer portion encompassing the lens adapted to sealingly secure to the apparatus housing. The lens is continuous with the outer portion such that water and other environmental elements can not penetrate into the housing electronics.



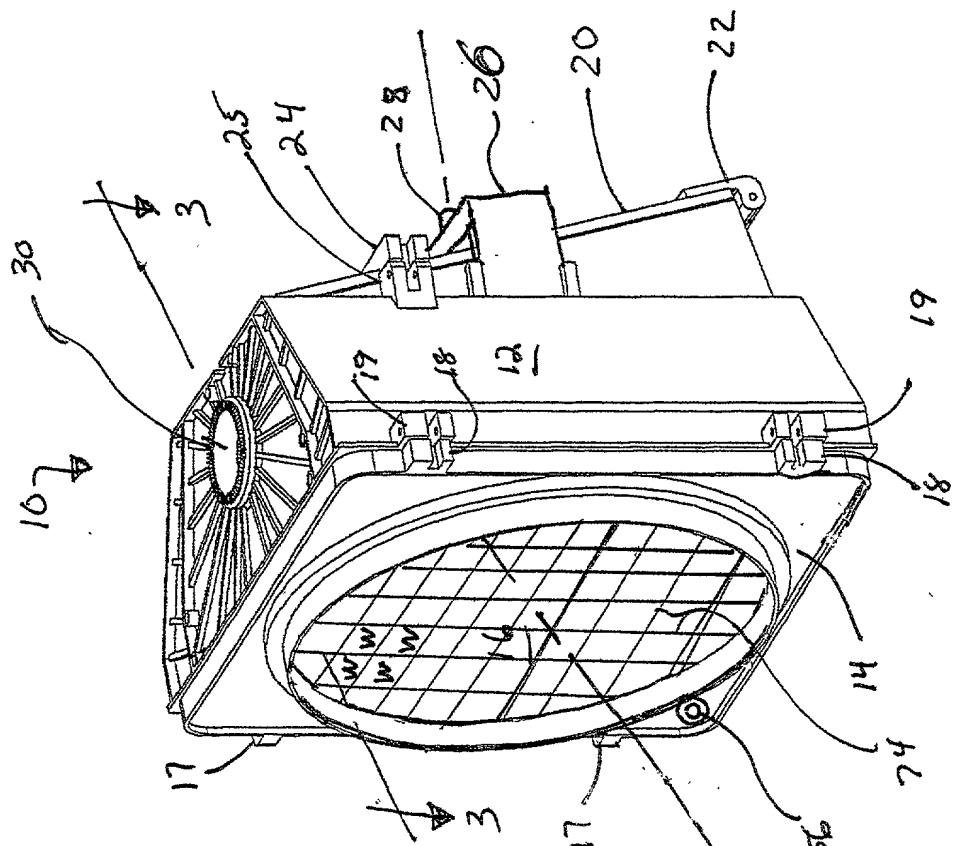


FIGURE 1A

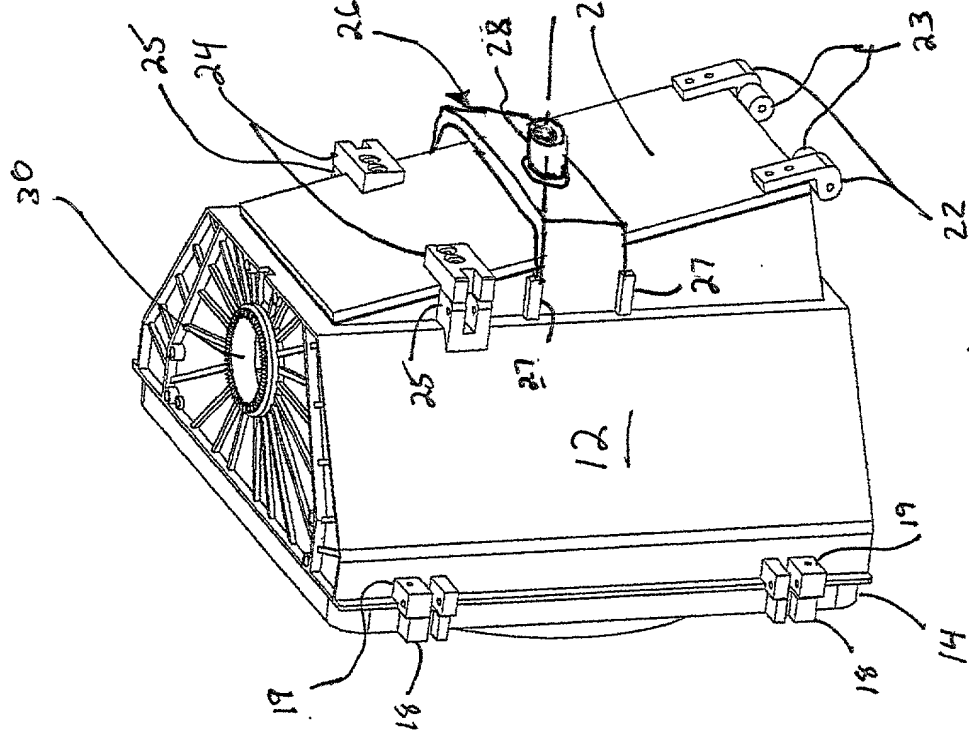


FIGURE 2A

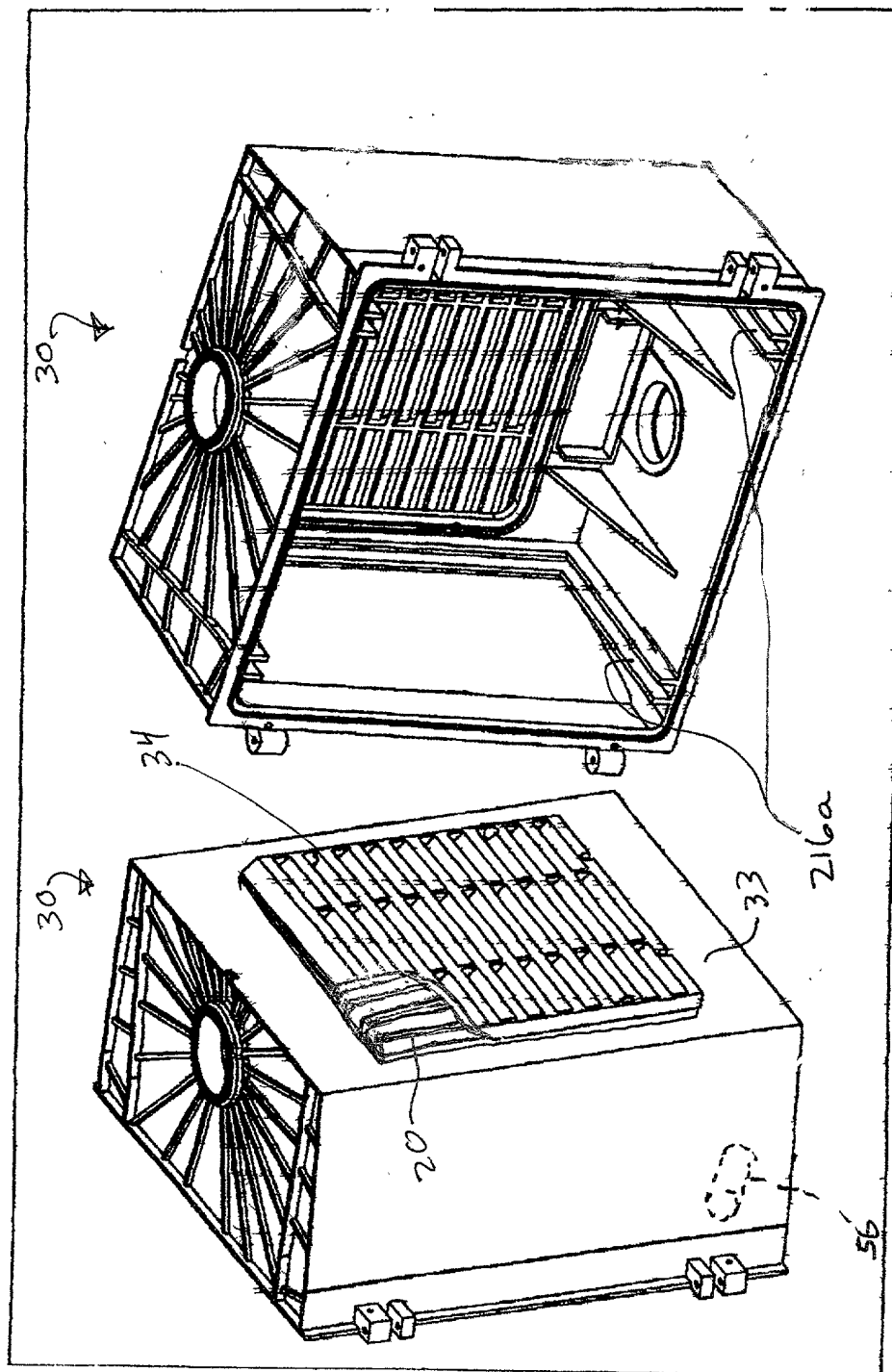
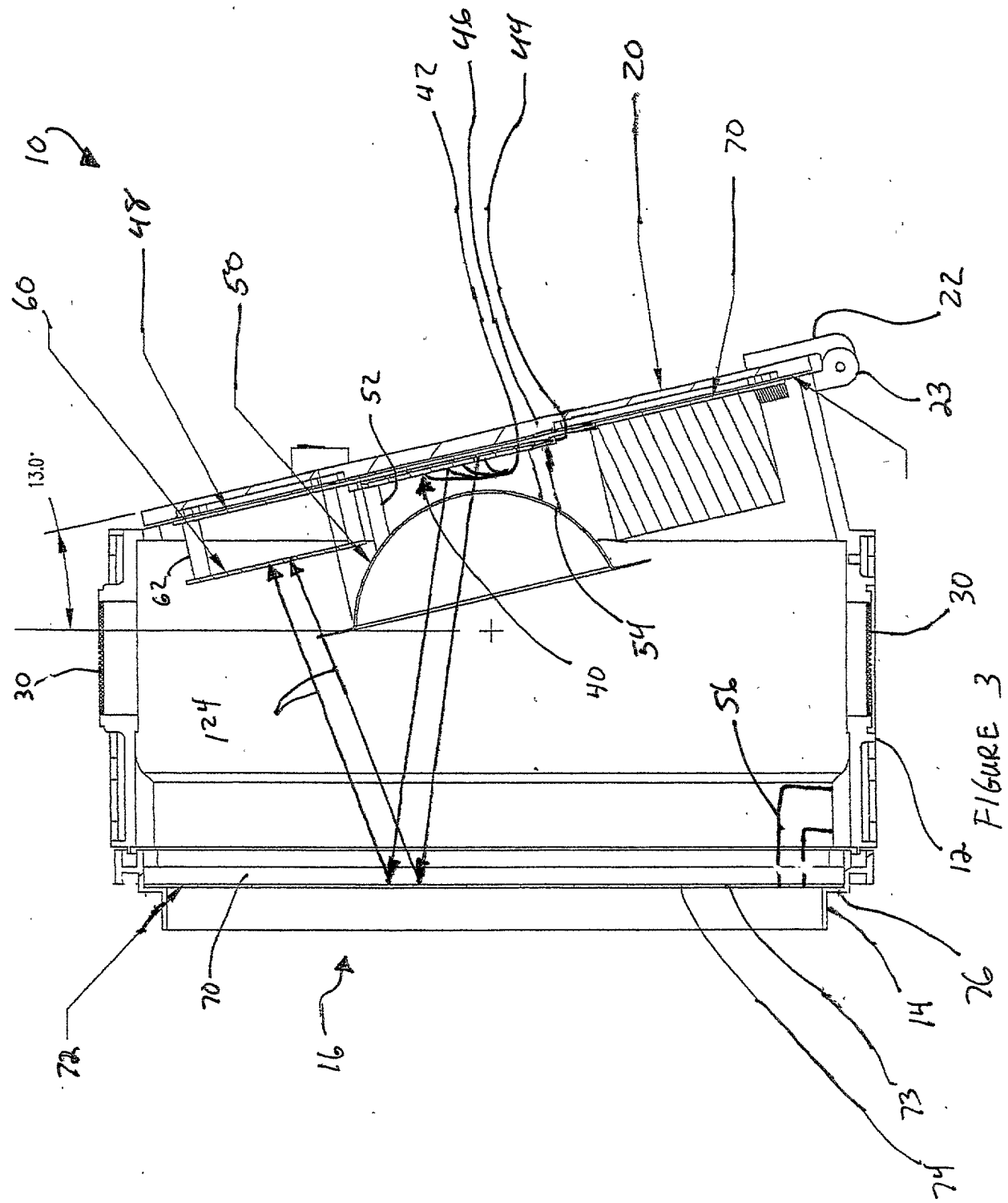


FIGURE 1B

FIGURE 2B



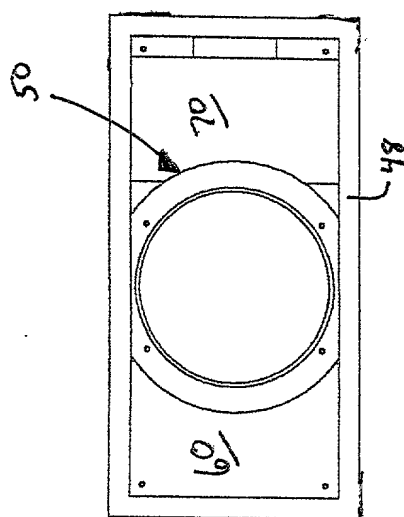


FIGURE 6

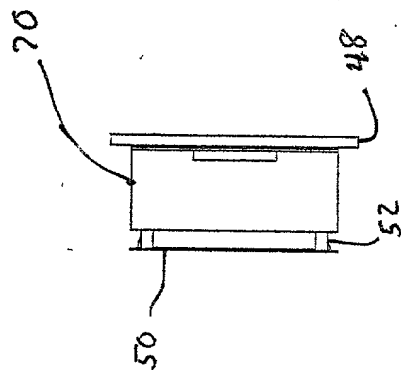


FIGURE 7

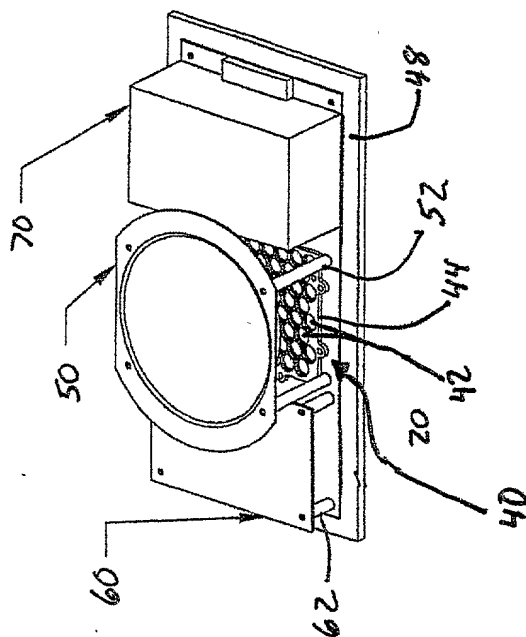


FIGURE 4

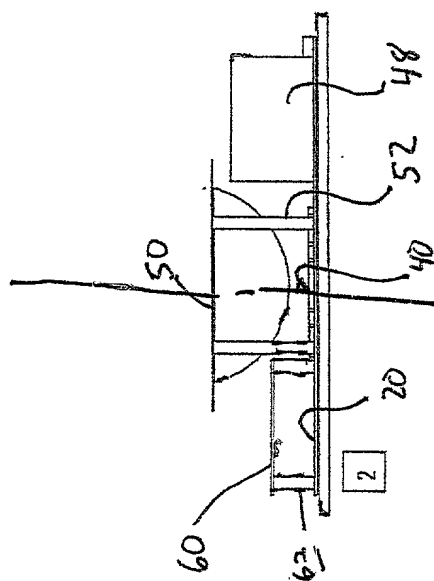


FIGURE 5.





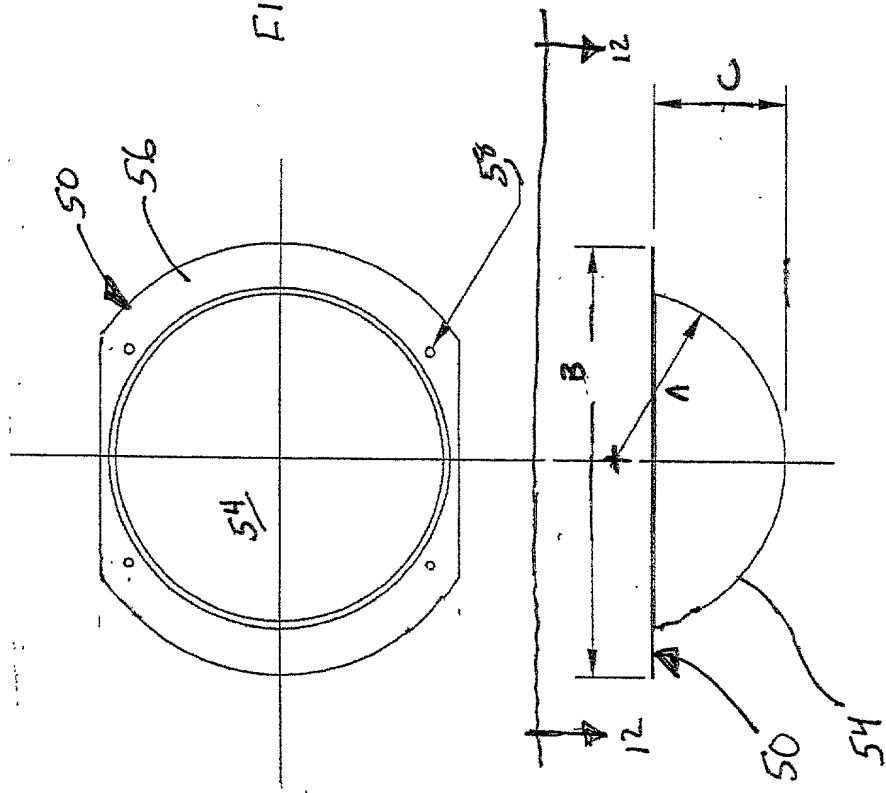


FIGURE 12

FIGURE 11

\_\_\_\_\_

A diagram of a circle with a horizontal diameter line passing through the center. The diameter is labeled with the letter 'D' in the middle. Arrows at both ends of the line point to the circumference of the circle.

FIGURE 13



59

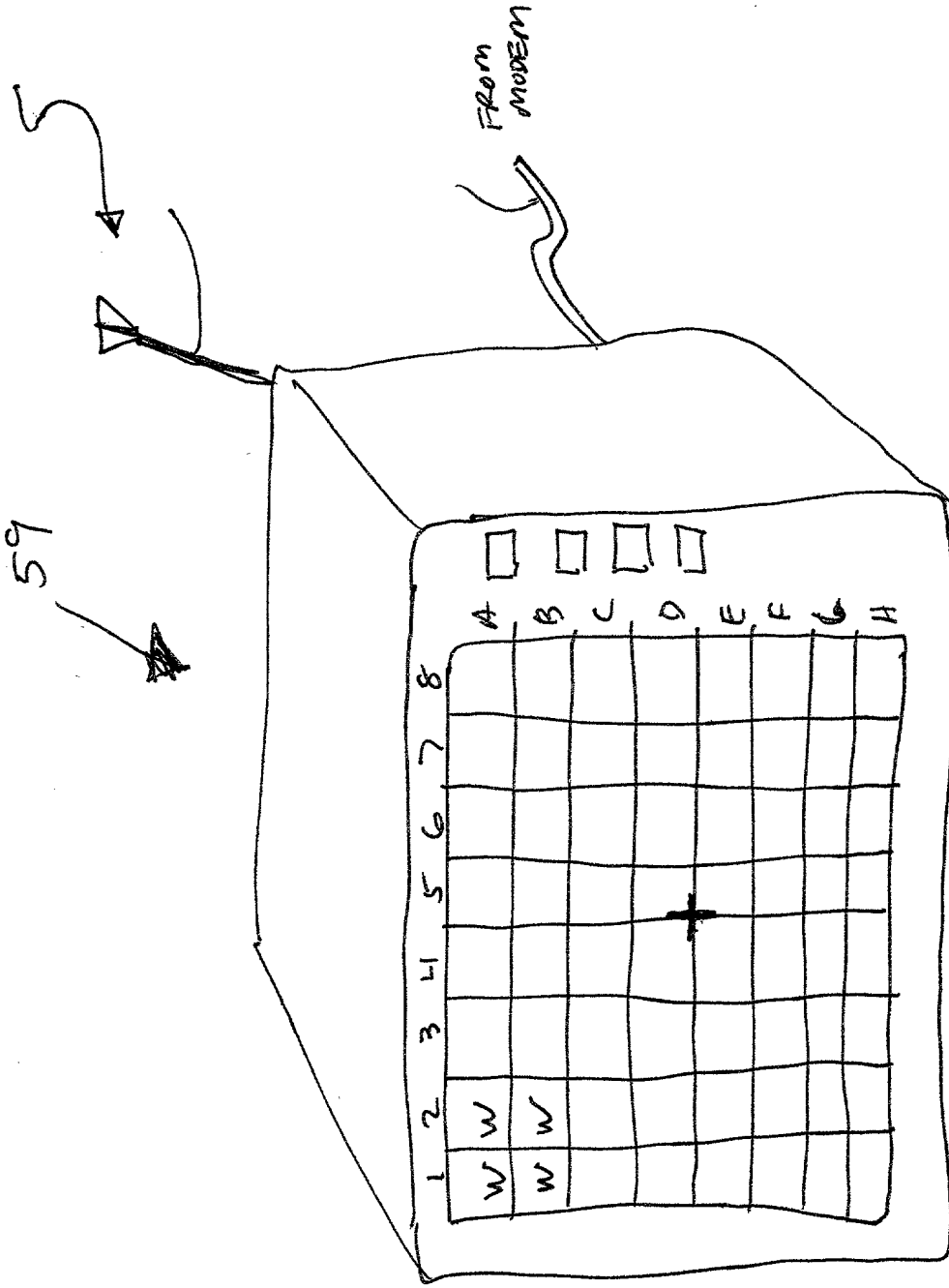


Figure 14A

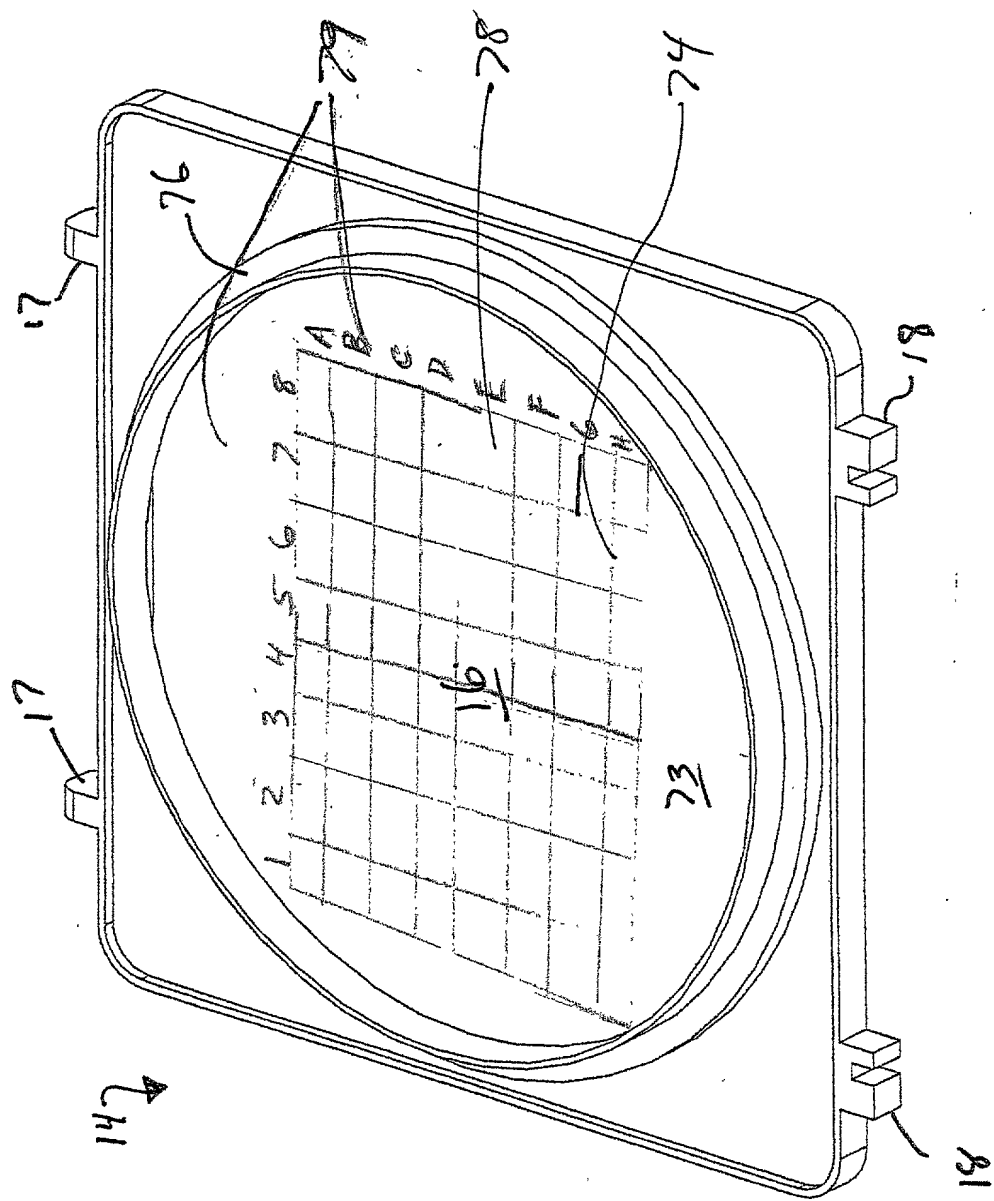


FIGURE 14B

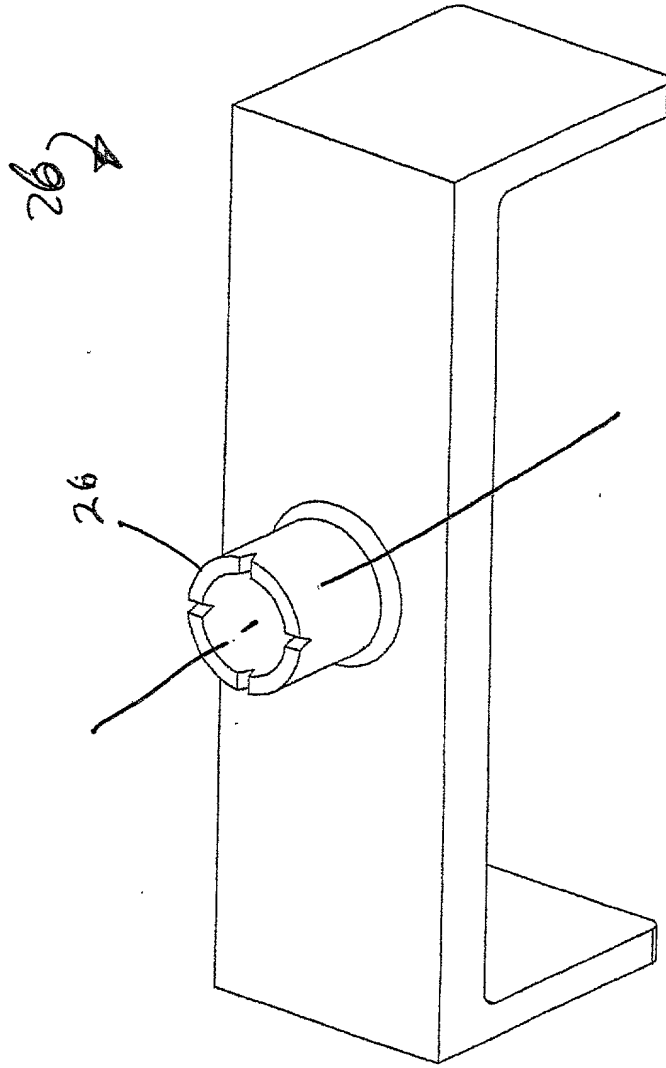


FIGURE 15

2112

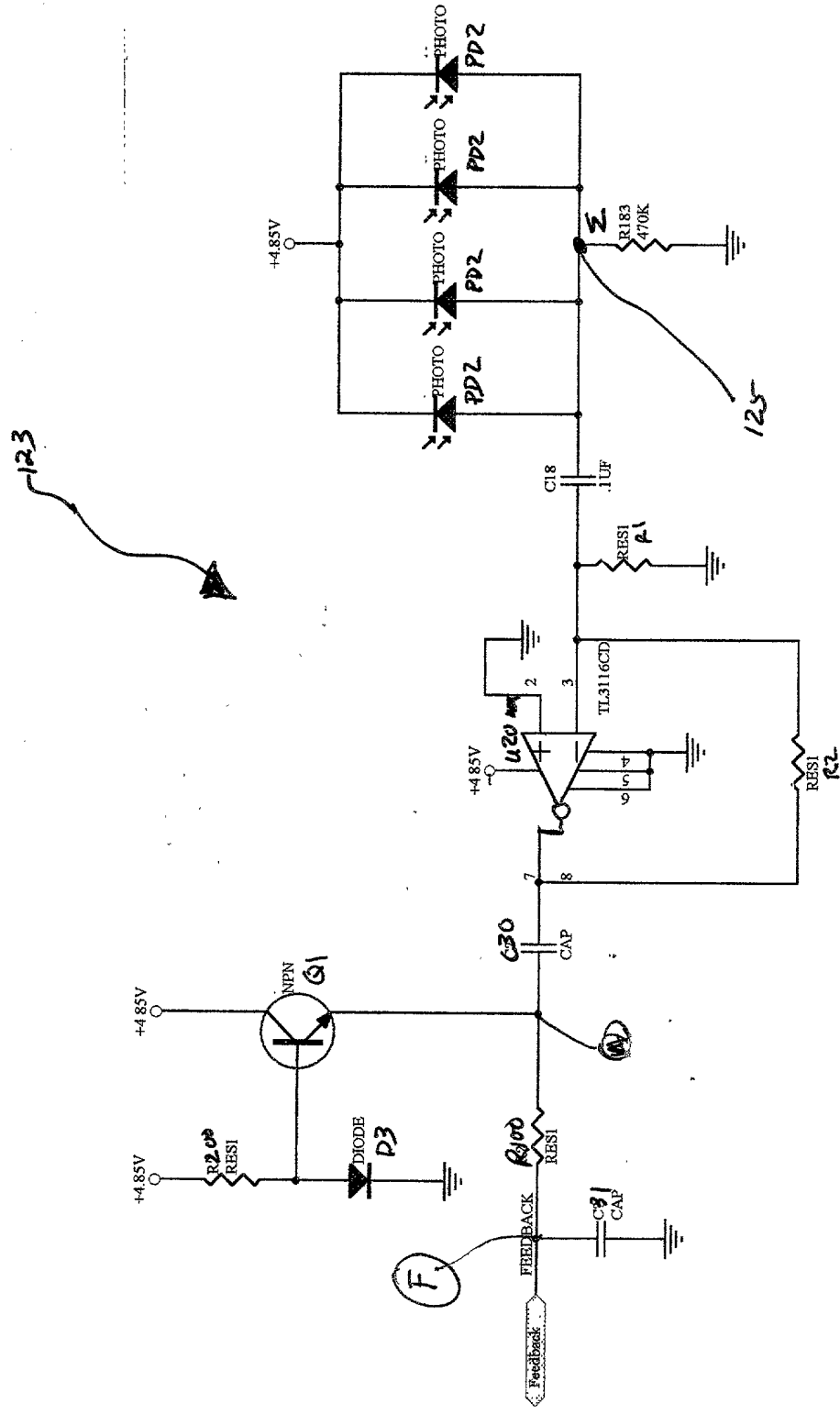
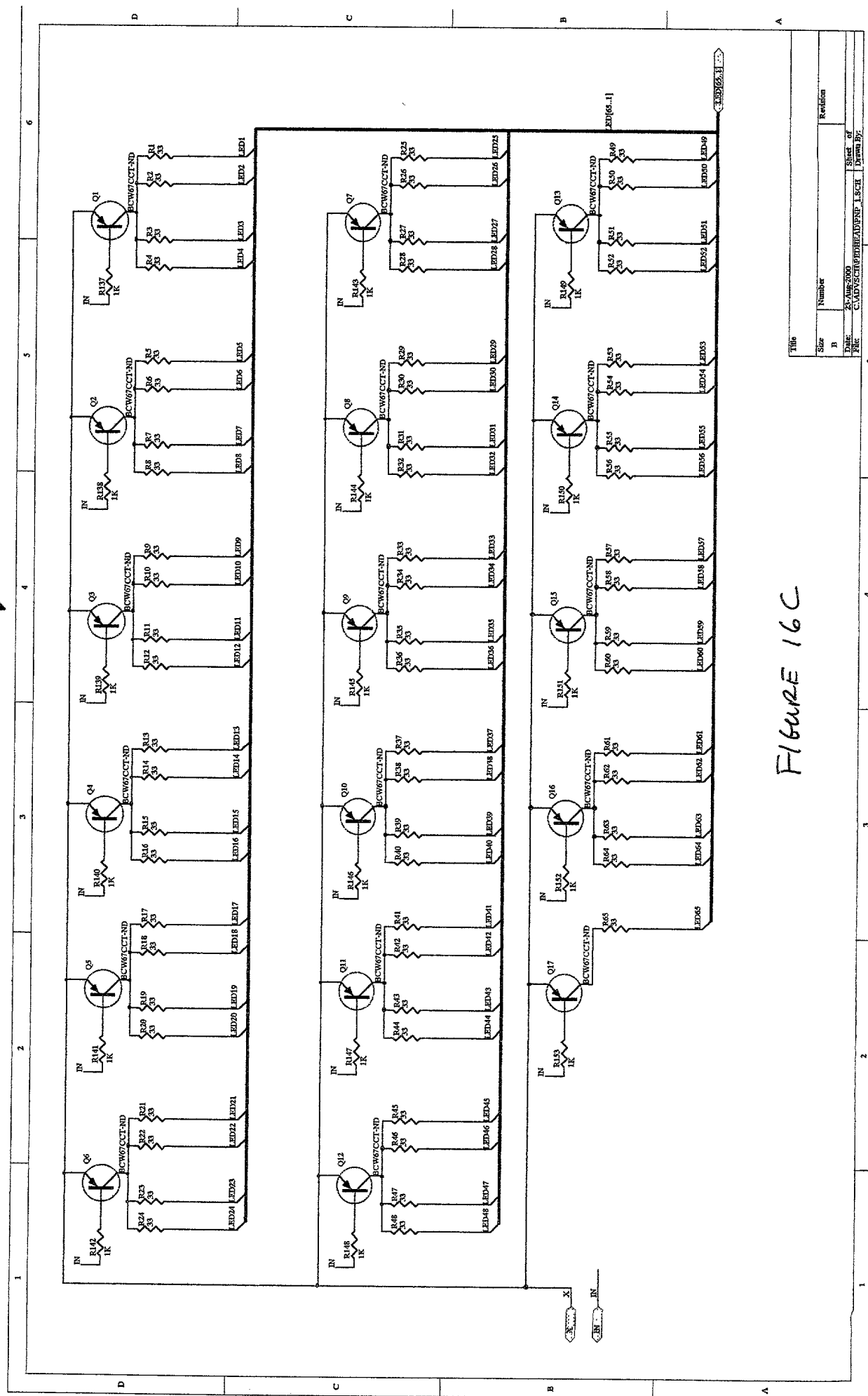


FIGURE 16B

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[illegible]

130



Fluore 16C

20% Duty Cycle (1mv)



FIGURE 16D

50% Duty Cycle (1mv)



FIGURE 16E

20% Duty Cycle (4mv)



Figure 16F

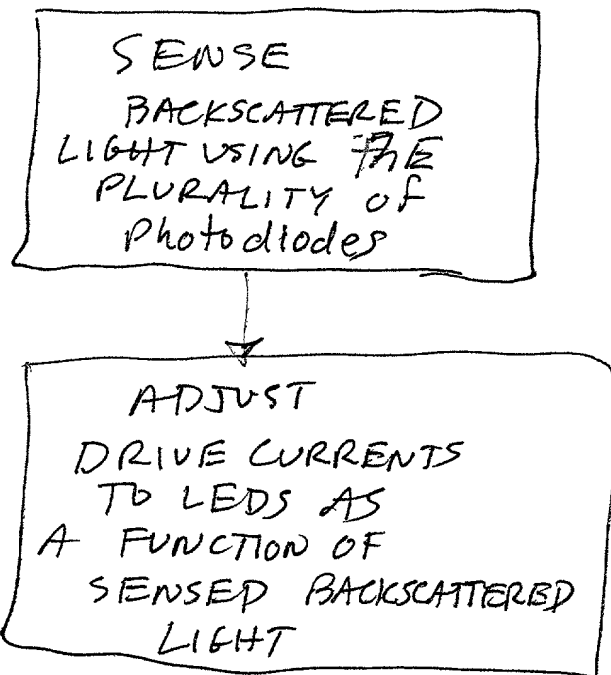
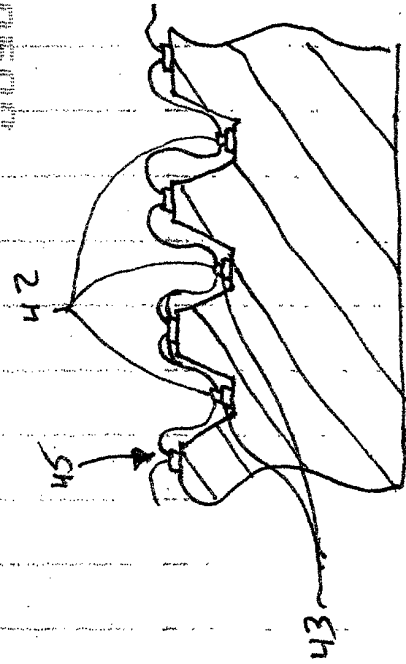


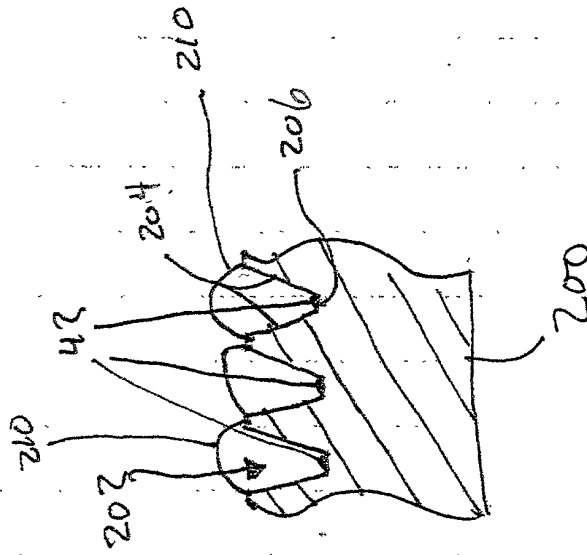
FIGURE 17



F-16URF 18B



FILED 18A



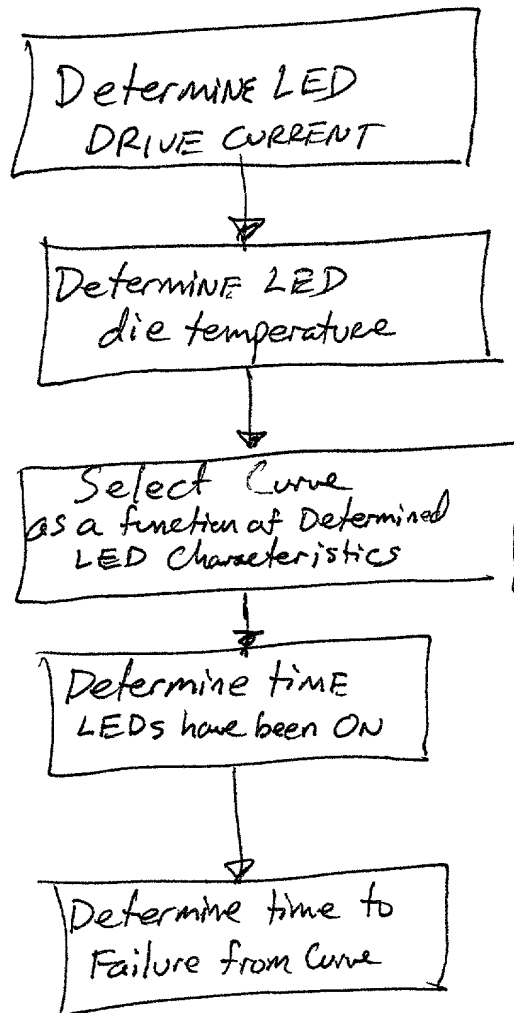


Figure 19

85°C / 85% Humidity

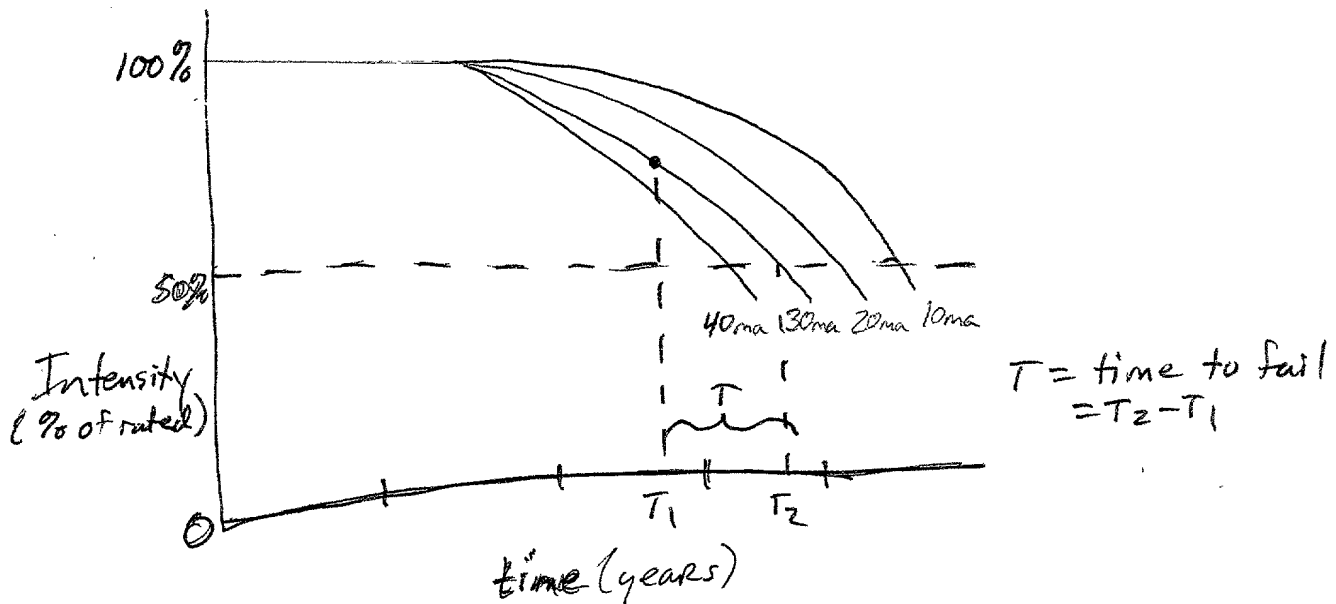


FIGURE 20

0°C / 0% Humidity

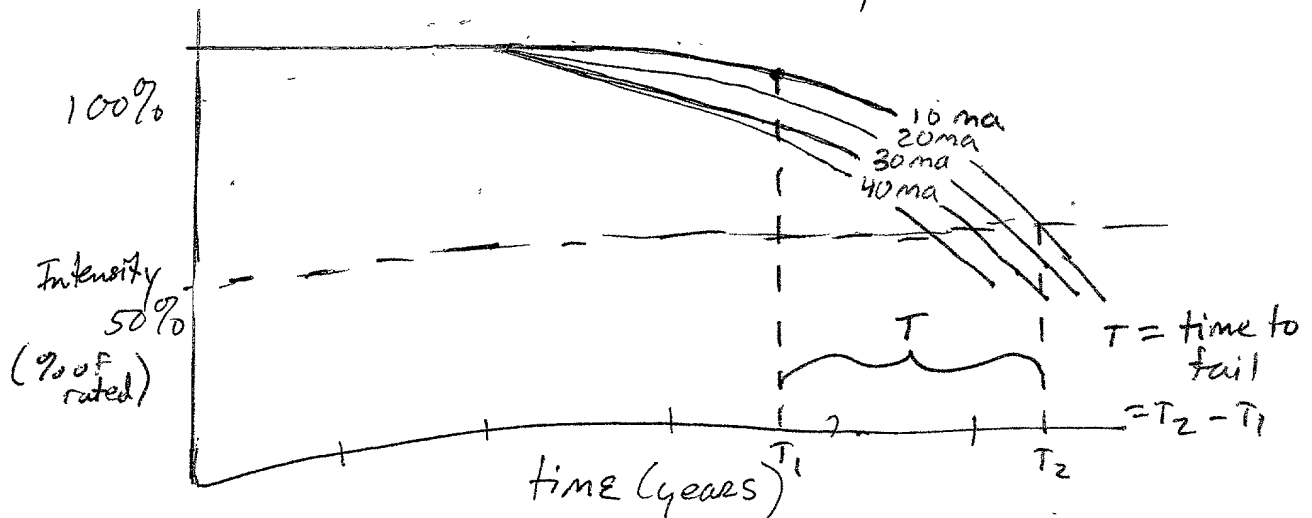


FIGURE 21

216

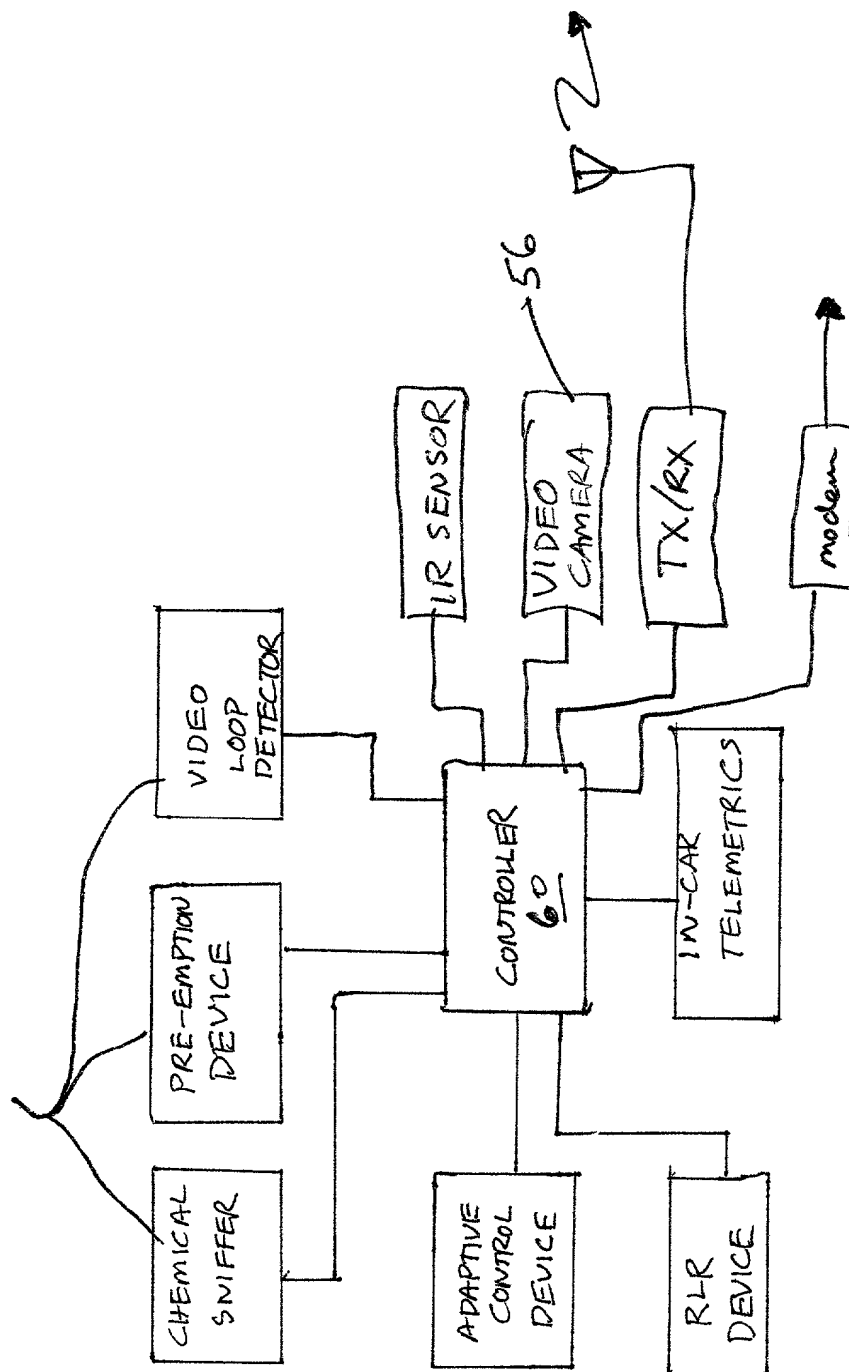
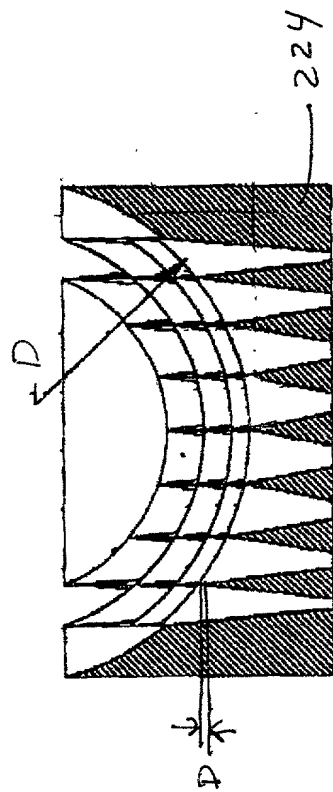
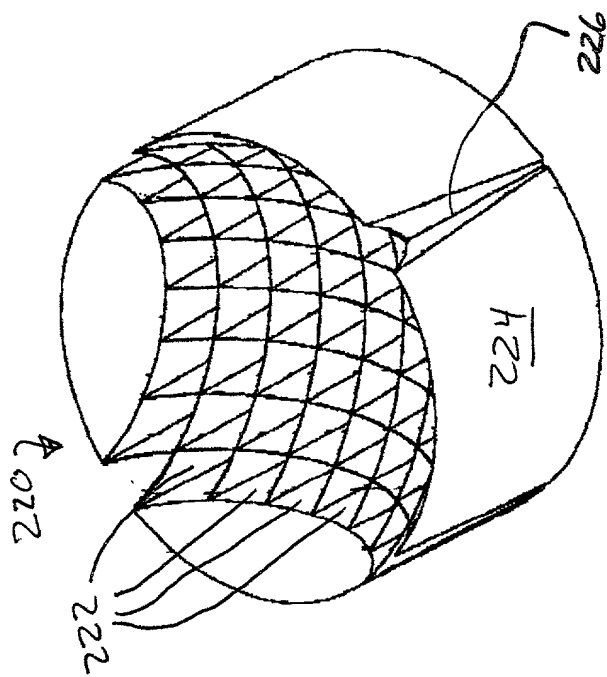
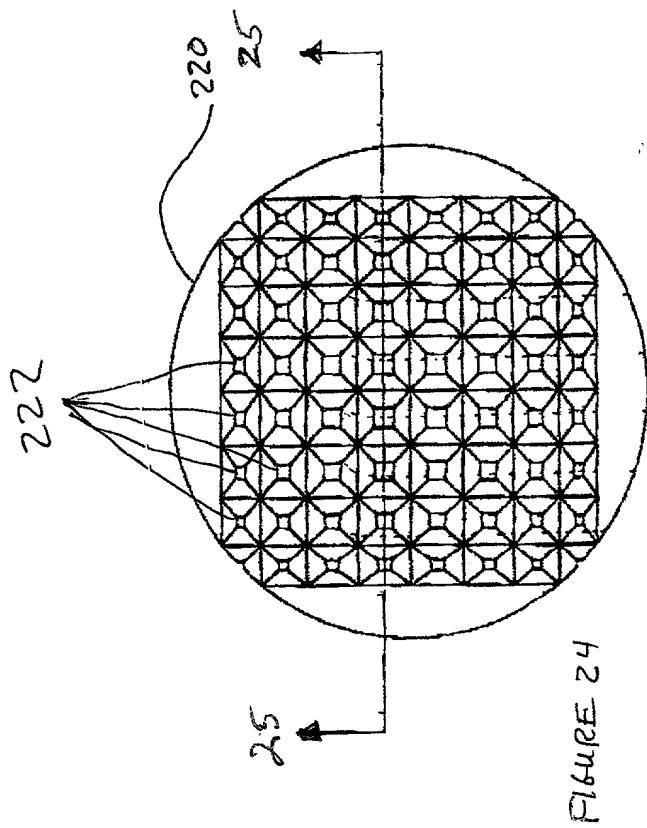


FIGURE 22



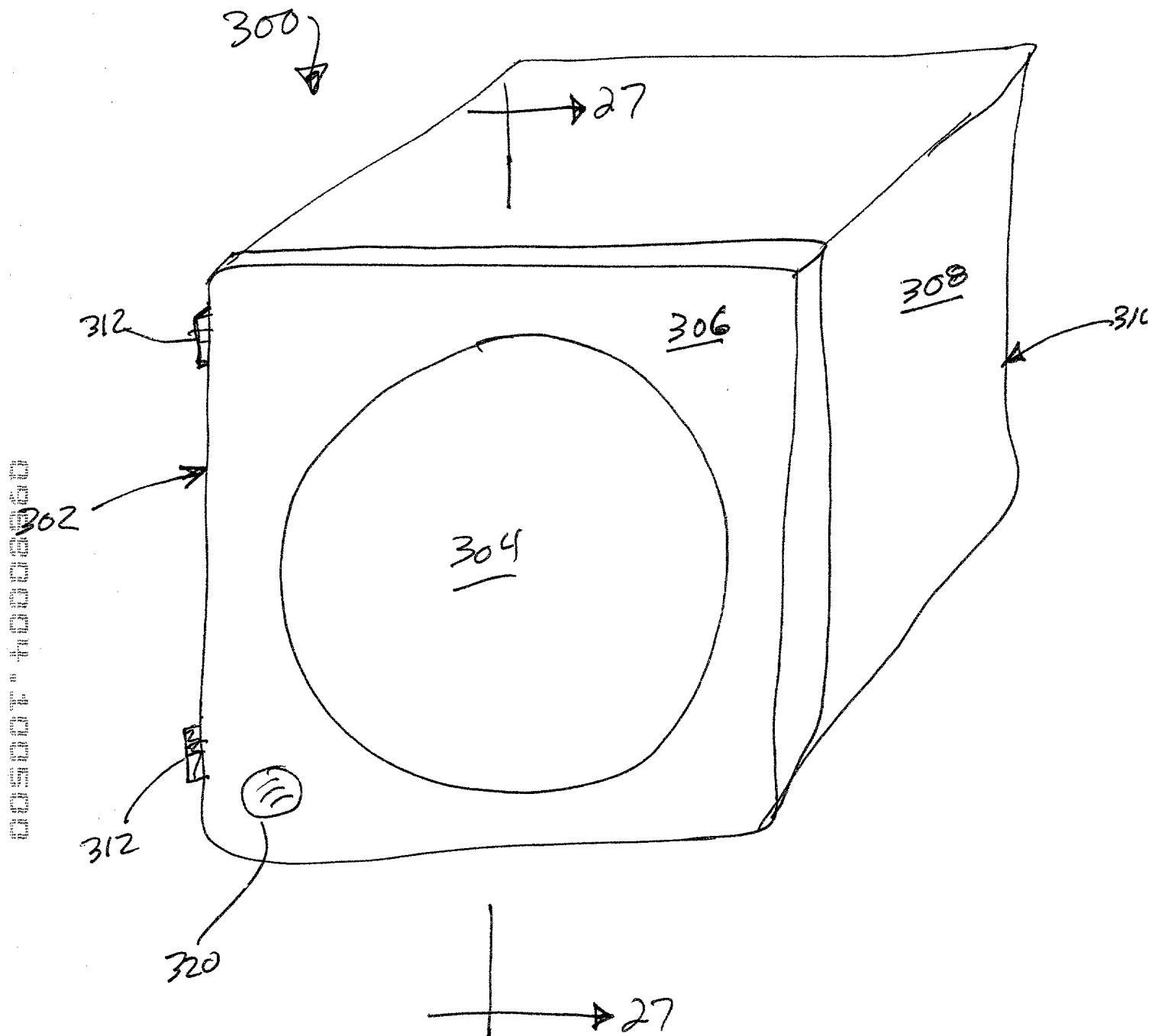


FIGURE 26

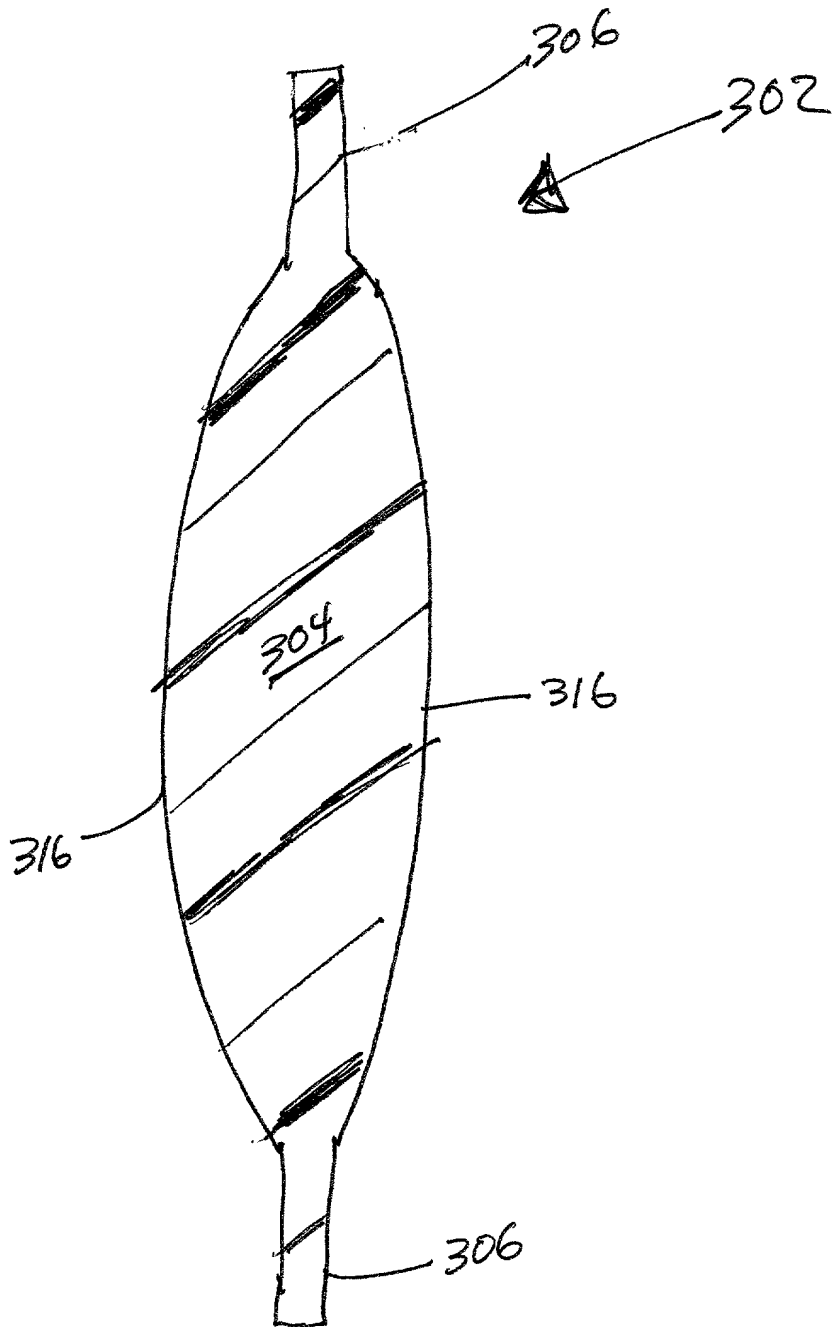



FIGURE 27

## **APPLICATION FOR UNITED STATES PATENT**

### **DECLARATION AND POWER OF ATTORNEY**

As a below named inventor, I declare that my residence, post office address and citizenship are as stated below next to my name; that I verily believe that I am the original, first and sole inventor if only one name is listed below, or an original, first and joint inventor if plural inventors are named below, of the subject matter which is claimed and for which a patent is sought on the invention entitled as set forth below, which is described in the attached specification; that I have reviewed and understand the contents of the specification, including the claims, as amended by any amendment specifically referred to in the oath or declaration; that no application for patent or inventor's certificate on this invention has been filed by me or my legal representatives or assigns in any country foreign to the United States of America; and that I acknowledge my duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, section 1.56(a);

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

TITLE OF INVENTION:		<b>SOLID STATE TRAFFIC LIGHT APPARATUS HAVING A COVER INCLUDING AN INTEGRAL LENS</b>	
POWER OF ATTORNEY:		I HEREBY APPOINT THE FOLLOWING ATTORNEYS TO PROSECUTE THIS APPLICATION AND TRANSACT ALL BUSINESS IN THE PATENT AND TRADEMARK OFFICE CONNECTED THEREWITH	
Robert C. Klinger, Reg. No. 34,365 T. Ling Chwang, Reg. No. 33,590		Stott B. Stahl, Reg. No. 33,795 Bobby D. Slaton, Reg. No. 43,130 Steven W. Thrasher, Reg. No. 43,192	
SEND CORRESPONDENCE TO: Robert C. Klinger Jackson Walker, LLP 2435 North Central Expressway, Suite 600 Richardson, TX 75080		DIRECT TELEPHONE CALLS TO: Robert C. Klinger 972-744-2902	
NAME OF INVENTOR: (1.) Michael C. Hutchison	NAME OF INVENTOR: (2.)	NAME OF INVENTOR: (3.)	
RESIDENCE (City and State Only) Plano, Texas	RESIDENCE (City and State Only)	RESIDENCE (City and State Only)	
POST OFFICE ADDRESS 6220 Trailwood Dr. Plano, Texas 75024	POST OFFICE ADDRESS	POST OFFICE ADDRESS	
COUNTRY OF CITIZENSHIP: USA	COUNTRY OF CITIZENSHIP:	COUNTRY OF CITIZENSHIP:	
SIGNATURE OF INVENTOR: 	SIGNATURE OF INVENTOR:	SIGNATURE OF INVENTOR:	
DATE: 10/4/00	DATE:	DATE:	